

#8
CFR
3/19/01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

SUZUKI et al.

Application No.: 09/389,318

Filed: September 3, 1999

For: METHOD FOR PRODUCING HIGHLY
UNSATURATED FATTY ACIDS AND
LIPID CONTAINING SAME

Group Art Unit: 1651

Examiner: I. Marx

REQUEST FOR CORRECTED OFFICIAL FILING RECEIPT

Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, D.C. 20231

Sir:

Enclosed is a copy of the Official Filing Receipt marked in red to show corrections that are needed. The corrections are as follows.

Under the Heading "Applicant(s)":

Please change the residence address of the following inventors to read as follows:

Osamu Suzuki - Hiroshima, Japan
Kazuhisa Ono - Hiroshima, Japan
Seiko Shigeta - Hiroshima, Japan
Tsunehiro Aki - Hiroshima, Japan

Please insert the following heading:

"Foreign Applications" - JAPAN 9-49337 3/4/97
PCT/JP98/00891 3/4/98

Issuance of a corrected Official Filing Receipt is respectfully requested.

[X] This Request for Corrected Official Filing Receipt is being filed to correct a Patent Office error. No fee is required. Enclosed is a copy of the Declaration/Power of Attorney showing the correct information was timely submitted. This paper is submitted in duplicate.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

By:

Dawn M. Gardner
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Date: February 28, 2001

FILING RECEIPT



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTORNEY DOCKET NO.	DRWGS	TOT CL	IND CL
09/389,318	09/03/99	1743	\$1,042.00	001560-372	0	27	5

RONALD L GRUDZIECKI
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Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts of Application" ("Missing Parts Notice") in this application, please submit any corrections to this Filing Receipt with your reply to the "Missing Parts Notice." When the PTO processes the reply to the "Missing Parts Notice," the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s) OSAMU SUZUKI, ~~HIGASHIHIROSHIMA-SHI~~, JAPAN; KAZUHISA ONO, ~~HIGASHIHIROSHIMA-SHI~~, JAPAN; SEIKO SHIGETA, ~~SAIKI-GUN, HIROSHIMA~~ JAPAN; TSUNEHIO AKI, ~~HIGASHIHIROSHIMA-SHI~~, JAPAN; KENGO AKIMOTO, OSAKA, JAPAN.

IF REQUIRED, FOREIGN FILING LICENSE GRANTED 09/23/99
TITLE
METHOD FOR PRODUCING HIGHLY UNSATURATED FATTY ACIDS AND LIPID
CONTAINING SAME

PRELIMINARY CLASS: 436

Foreign Applications

Japan 9-49337 3/4/97
PCT/JP 98/00891 3/4/98
10/8

001560-372
Aoki
JMM

DATA ENTRY BY: WASHINGTON, KAREN TEAM: 01 DATE: 09/23/99

(See reverse for new important information)

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Declaration and Power of Attorney For Patent Application

特許出願宣言書及び委任状

Japanese Language Declaration

日本語宣言書

下記の氏名の発明者として、私は以下の通り宣言します。

As a below named inventor, I hereby declare that:

私の住所、私書箱、国籍は下記の私の氏名の後に記載された通りです。

My residence, post office address and citizenship are as stated next to my name.

下記の名称の発明に関して請求範囲に記載され、特許出願している発明内容について、私が最初かつ唯一の発明者（下記の氏名が一つの場合）もしくは最初かつ共同発明者であると（下記の名称が複数の場合）信じています。

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

METHOD FOR PRODUCING HIGHLY UNSATURATED

FATTY ACIDS AND LIPID CONTAINING SAME

上記発明の明細書（下記の欄でxが印がついていない場合は、本書に添付）は、

the specification of which is attached hereto unless the following box is checked:

☐ 月 日に提出され、米国出願番号または特許協定条約
国際出願番号を _____ とし、
(該当する場合) _____ に訂正されました。

☐ was filed on March 4, 1998
as United States Application Number or
PCT International Application Number
PCT/JP98/00891 and was amended on
_____ (if applicable).

私は、特許請求範囲を含む上記訂正後の明細書を検討し、内容を理解していることをここに表明します。

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

私は、連邦規則法典第37編第1条56項に定義されたとおり、特許資格の有無について重要な情報を開示する義務があることを認めます。

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56.

Japanese Language Declaration (日本語宣言書)

私は、米国法典第35編119条(a)-(d)項又は365条(b)項に基づき下記の、米国外の国の少なくとも一カ国を指定している特許協力条約365(a)項に基づく国際出願、又は外国での特許出願もしくは発明者証の出願についての外国優先権をここに主張するとともに、優先権を主張している、本出願の前に出願された特許または発明者証の外国出願を以下に、枠内をマークすることで、示しています。

Prior Foreign Application(s)

外国での先行出願
 9-49337 (Pat. Appln.)

(Number) (番号)	(Country) (国名)
	Japan

I hereby claim foreign priority under Title 35, United States Code, Section 119 (a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed.

Priority Not Claimed

優先権主張なし

4/March/1997

(Day/Month/Year Filed)
 (出願年月日)

☐

(Day/Month/Year Filed)
 (出願年月日)

☐

私は、第35編米国法典119条(e)項に基づいて下記の米国外の特許出願規定に記載された権利をここに主張いたします。

(Application No.)
 (出願番号)

(Filing Date)
 (出願日)

I hereby claim the benefit under Title 35, United States Code, Section 119(e) of any United States provisional application(s) listed below.

(Application No.)
 (出願番号)

(Filing Date)
 (出願日)

私は、下記の米国法典第35編120条に基づいて下記の米国外の特許出願に記載された権利、又は米国外を指定している特許協力条約365条(c)に基づき権利をここに主張します。また、本出願の各請求範囲の内容が米国法典第35編112条第1項又は特許協力条約で規定された方法で先行する米国外の特許出願に開示されていない限り、その先行米国外出願書提出日以降で本出願書の日本国内または特許協力条約国際提出日までの期間中に入手された、連邦規則法典第37編1条56項で定義された特許資格の有無に関する重要な情報について開示義務があることを認識しています。

(Application No.)
 (出願番号)

(Filing Date)
 (出願日)

I hereby claim the benefit under Title 35, United States Code, Section 120 of any United States application(s), or 366(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of Title 35, United States Code Section 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of application.

(Status: Patented, Pending, Abandoned)
 (現況: 特許許可済、係属中、放棄済)

(Application No.)
 (出願番号)

(Filing Date)
 (出願日)

(Status: Patented, Pending, Abandoned)
 (現況: 特許許可済、係属中、放棄済)

私は、私自身の知識に基づいて本宣言書中で私が行なう表明が真実であり、かつ私の入手した情報と私の信じることに基づく表明が全て真実であると信じていること、さらに故意になされた虚偽の表明及びそれと同等の行為は米国法典第18編第1001条に基づき、罰金または拘禁、もしくはその両方により処罰されること、そしてそのような故意による虚偽の声明を行えば、出願した、又は既に許可された特許の有効性が失われることを認識し、よってここに上記のごとく宣誓を致します。

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

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Japanese Language Declaration
(日本語宣言書)

委任状： 私は下記の発明者として、本出願に関する一切の
手続を米特許商標局に対して遂行する弁理士または代理人
として、下記の者を指名いたします。(弁理士、または代理
人の氏名及び登録番号を明記のこと)

POWER OF ATTORNEY: As a named inventor, I hereby appoint
the following attorney(s) and/or agent(s) to prosecute this
application and transact all business in the Patent and Trademark
Office connected therewith (list name and registration number)

William L. Mathis	17,337	Robert G. Mukai	28,531	William H. Benz	25,952
Peter H. Smolka	15,913	George A. Hovanec, Jr.	28,223	Peter K. Skiff	31,917
Robert S. Swecker	19,885	James A. LaBarre	28,632	Richard J. McGrath	29,195
Platon N. Mandros	22,124	E. Joseph Gess	28,510	Matthew L. Schneider	32,814
Benton S. Duffett, Jr.	22,030	R. Danny Huntington	27,903	Michael G. Savage	32,596
Joseph R. Magnone	24,239	Eric H. Weisblatt	30,505	Gerald F. Swiss	30,113
Norman H. Stepno	22,716	James W. Peterson	26,057	Michael J. Ure	33,089
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Frederick G. Michaud, Jr.	26,003	Robert E. Krebs	25,885	Bruce T. Wieder	33,815
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Regis E. Slutter	26,999	T. Gene Dillahunt	25,423		
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唯一または第一発明者名	Full name of sole or first inventor	
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発明者の署名	Inventor's signature	Date
日付	<i>Osamu Suzuki</i>	August 25, 1999
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国籍	Citizenship	
	Japanese	
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第二共同発明者	Full name of second joint inventor, if any	
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第二共同発明者	Second inventor's signature	Date
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住所	Residence	
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私書箱	Post Office Address	
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ること)

(Supply similar information and signature for third and subsequent
joint inventors.)

第三共同発明者		Full name of third joint inventor, if any Seiko Shigeta	
第三共同発明者	日付	Third inventor's signature <i>Seiko Shigeta</i>	Date August 25, 1999
住 所		Residence Saiki-gun, Hiroshima, Japan	
国 籍		Citizenship Japanese	
私書箱		Post Office Address 2-9-13, Okishioya, Ono-cho, Saiki-gun, Hiroshima, Japan	
第四共同発明者		Full name of fourth joint inventor, if any Tsunehiro Aki	
第四共同発明者	日付	Fourth inventor's signature <i>Tsunehiro Aki</i>	Date August 25, 1999
住 所		Residence Higashihiroshima-shi, Hiroshima, Japan	
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第五共同発明者		Full name of fifth joint inventor, if any Kengo Akimoto	
第五共同発明者	日付	Fifth inventor's signature <i>Kengo Akimoto</i>	Date August 25, 1999
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国 籍		Citizenship Japanese	
私書箱		Post Office Address 1-9-5-1006, Yamazaki, Shimamoto-cho, Mishima-gun, Osaka, Japan	
第六共同発明者		Full name of sixth joint inventor, if any	
第六共同発明者	日付	Sixth inventor's signature	Date
住 所		Residence	
国 籍		Citizenship	
私書箱		Post Office Address	

(第七以降の共同発明者についても同様に記載し、署名をすること)

(Supply similar information and signature for seventh and subsequent joint inventors.)